

National Bank of Pakistan _____ Region

Office Note for obtaining Advise from CDD Advisory Team on High Risk Accounts

Account Type: _____
Reason of being High Risk: _____
Account Title: _____
Source of Income: _____
 Account Opening Request Periodic Review

The Branch Manager, NBP _____ Branch of _____ Region is willing to obtain advice / approval of high risk customer titled as _____. As account category falls in high risk due to _____, therefore, we seek advice / consent from KYC / CDD Advisory Team of AML/CFT Division, Compliance Group as per instruction circular # _____.

All Required Documents as per the Type of Account are complete	Yes / No
Proper documentation to establish the source of funds in Completed	Yes / No
All additional forms like PEP Identification Form, CDD/KYC Form, EDD Form, FATCA and CRS Forms as applicable are obtained	Yes / No
Profession / Business details	
Expected relationship size - Balance	
Expected relationship size - Turnover	
Expected relationship size - Number of Transaction	
Purpose of opening the account at NBP	
Details of the Past and Present employment / businesses of the customer	

In this connection, branch has ensured the veracity, source of funds, purpose and true ownership of the account and branch is satisfied with the opening of account. The competent authority at Regional office has approved the account and as per bank policy, HO is requested to provide their advice / consent for opening of subject account as Branch and Regional office has completed all regulatory and procedural formalities as per bank policy and procedures.

- We hereby confirm that all required documents as applicable are obtained and reviewed and there are no discrepancies left for Onboarding / Periodic Review of this customer.
- We undertake to provide any document which is identified as discrepant or missing by KYC / CDD Team during EDD process or later stage.
- We also undertake to provide any additional document which is required by KYC / CDD Team for completing the KYC / CDD during EDD process or at a later stage.

Operations Manager

Branch Manager

Regional Executive IC&SE

Regional Head